



Information Systems Audit and Control Association

Baton Rouge Chapter

April 2002

President's Letter

Well, I want to first thank the Academy, ... Oops, wrong thank you speech. Let's try one more time ...

This year has been an extremely enlightening, fun, and interesting year for me. With the assistance of our Board of Directors (each of whom took primary responsibility for planning a meeting), we were able to have six meetings this year (up from our normal four). **Jill Joseph** (as former President) did a great job of keeping me out of trouble (no easy task), **Colleen McGehee** (Chapter Treasurer) kept me out of jail, and **Paula Scarton** (Board Member) helped me keep my sanity. **Kenny Reynolds** (who was recognized by ISACA for his past efforts, winning last year's Best Small Chapter Newsletter award) and the recently-departed **Paul Polinski** (no, he just moved to Cleveland, snfff, snfff) are doing another excellent job with the newsletter. We have an excellent working relationship with our sister chapter in New Orleans and an even better one with our local IIA compadres. I am extremely happy and proud to say that **Artie Fillastre**, another Board member, was elected President of the local IIA chapter at their most recent meeting, so I look forward to this strong relationship continuing. In fact, our last program of the year is a joint meeting with the IIA next week (Thursday, April 18) at Ruffino's.

I want to also remind everyone of the post-CISA exam trauma center that we will set up on the porch at Serrano's after the exam (approximately 12:30 on June 8) where we will be administering margaritas and appetizers intravenously to exam victims. I am pleased to say that **Steve Rosales**, who is coordinating the rescue effort as chapter CISA Coordinator, has agreed to be Chapter President for upcoming year. Please talk with Steve at one of these upcoming gatherings if you find that you have some free time and energy to help with planning events and programs for next year. The time commitment required is limited, but the rewards in terms on personal interaction and professional advancement

are great. Although I am stepping down as President, I already have "Contact Steve to help with next year" on my "TO DO" List. I suggest that you do the same - I promise you that you won't regret it.

See you at the next meeting.

Tim

Tim Louwers
President, ISACA—Baton Rouge



April Meeting:

Time: Thursday, April 18; 11:30-1:00

Place: Ruffino's

Topic: *Business Continuity and Disaster Preparedness*

Speakers: J. Renea Austin-Duffin, James A. Mehaffey

Menu: Tea, salad, choice of Tenderloin Parmesan or Chicken Marsala, Italian Bread, and Double Chocolate Cake.

Cost: Members and students \$20; Nonmembers \$25

RSVP with the names of attendees to Greta Meche at auditing@ci.baton-rouge.la.us by Friday, April 12.

April Speakers

J. Renea Austin-Duffin is the Senior Vice President for Business Development at Blue Cross Blue Shield of Louisiana. Her responsibilities include management of activities related to the investigation and implementation of business opportunities for the company. In addition, she is responsible for the development and implementation of the company's business continuity plan to ensure key business operations are protected.

Highlights of Ms. Duffin's accomplishments include:

- served as a member of Governor Foster's administration as Secretary of the Department of Social Services. As the Secretary, she was responsible for a budget in excess of \$800 million and had over 5,800 employees statewide.
- Deputy Commissioner of Administration for Policy
- Coordinator of the Louisiana Year 2000 Coordination Project Office
- Undersecretary to the Department of Social Services
- Administrative Director of the Department of Health and Hospitals HIV Program Office
- Research analyst for the Louisiana House of Representatives Health and Welfare Committee
- Fiscal analyst for the Legislative Fiscal Office;
- Personnel management specialist for the United States Department of Agriculture Forest Services.

James A. Mehaffey is the corporate Business Continuity Program team lead and a corporate research analyst for Business Development at Blue Cross and Blue Shield of Louisiana. He is responsible for leading the development and implementation of the company's business continuity program to ensure key business operations and facilities are protected. In addition, his responsibilities include research of activities related to business opportunities for the company.

Highlights of Mr. Mehaffey's accomplishments include:

- Vice-president of Information Services
- Director of Corporate Research and Development
- Director of the Data Center
- Manager of Programming Systems Programmer

New Education Board Project

ISACA's Education Board has recently initiated a project to collect, summarize and report on the tasks and responsibilities of information systems (IS) auditors and the ways in which they are trained to perform their duties. The first phase of the project will focus on IS auditors who have just entered the field (with no prior audit experience), and subsequent phases will focus on different levels of IS auditors up to those individuals who have reached an IS audit manager status.

At this time, the Education Board is asking ISACA members and chapters to assist in this project by collecting and providing the following information as it pertains to their organizations:

- Copies of IS auditor job descriptions
- General IS auditor training schedules that include:
 - General subject matter covered (not internal operations specific training)
 - When in the experience cycle, or at which audit level, information is covered
 - How it is presented (on the job, at internal training, at external training)
- Estimates of average number of years until an IS auditor attains:
 - Senior auditor level
 - Supervisor auditor level
 - Manager auditor level

Members willing to share such information for use in this project should send it to Terry Trsar, chief professional development officer of ISACA, at: 3701 Algonquin Road, Suite 1010; Rolling Meadows, Illinois USA 60008; Phone: +1.847.590.7451; Fax: +1.847.253.1443; and e-mail: ttrsar@isaca.org.

COBIT® Update

ISACA has recently provided some new resources to help in the implementation of the COBIT framework. Here's the official line:

COBIT welcomes a new family member—the *IT Control Practices Statements*. Recently the COBIT Steering Committee celebrated the 10th anniversary of the birth of the concepts underlying COBIT. Ten years and so much hard work later, COBIT has gained international acceptance and has become *the* reference for IT control and governance. Many use COBIT as a reference work, some have succeeded to COBIT-ize processes in their organizations, but few have labeled COBIT as easy to implement. Indeed, numerous are the requests for more detail, added guidelines for implementation and verification of compliance, and clarifications of the rationale of some of the controls suggested by COBIT.

And that is where the *IT Control Practice Statements* come into play. IT control practices expand the capabilities of COBIT by providing the practitioner with an additional level of detail. The current COBIT IT processes, business requirements and detailed control objectives define what needs to be done to implement an effective control structure. The IT control practices provide the more detailed *how* and *why* needed by management, service providers, end users and control professionals to implement highly specific controls based on an analysis of operational and IT risks. The COBIT conceptual framework is thus extended with a more specific implementation focus that is further presented in the control practices.

The IT Governance Institute has published the first control practice statement. The control practice statement for PO-9 “Manage Risks” is available to members for download at www.isaca.org/@member.

Position Announcement

SENIOR IT AUDITOR

Job Description: Plan and perform Information Systems (EDP) audits, general control reviews and application reviews; Partner with corporate IT department to conduct post implementation system audits; Evaluate adequacy and effectiveness of controls on information system processes and determine compliance with applicable policies and procedures; Develop computer assisted audit techniques (CAATs) and perform data analysis procedures for operational, contract and information technology audits and special projects; Prepare reports of audit findings and facilitate the development of actions plans with management; Assist in coordinating audits with the external auditors. Travel required - approximately 50%.

Required Education: BS Degree in Business, Accounting, Finance, Information Technology or related field; CIA or CISA certification(s) a plus

Required Experience: 3 to 5 years experience in IT auditing environment (Big 5 experience a plus); Specific knowledge of client server, UNIX, Oracle, JD Edwards or similar database knowledge; Windows NT and Windows 2000; Knowledge of various software data extraction programs such as ACL, Easytrieve; Position requires a high level of understanding of auditing methodology and the ability to apply it to varied situations; Strong oral and written communications skills are required to effectively communicate with different levels of employees and management. Candidate must possess the ability to work independently as well as in a team environment. Programming knowledge of SQL as applied to data platforms a plus.

Please forward your resume to Theresa Samaha at theresa.samaha@shawgrp.com.

Officers and Directors

President Tim Louwers
Louisiana State University
(225) 578-6233
louwers@lsu.edu

Vice-President Kenny Reynolds
Louisiana State University
(225) 578-6218
jkreyn1@lsu.edu

Secretary/
Treasurer Colleen McGehee
Department of Revenue
(225) 925-6512
cmcgehee@rev.state.la.us

CISA Stephen Rosales
Coordinator Louisiana Lottery Corporation
(225) 297-2061
rosales@louisianalottery.com

Student Cordell Key
Vice- Louisiana State University
President ckey@lsu.edu